

**"The essential characteristic of these liberties is, that under their shield many types of life, character, opinion and belief can develop unmolested and unobstructed."**

### **Cantwell v. Connecticut**

310 U.S. 296, 60 S.Ct. 900, 84 L.Ed. 1213 (1940).

On Palm Sunday, 1938, Newton Cantwell and his two sons Jesse and Russell, all Jehovah's Witnesses, went from house to house and also stopped people in the street in a heavily Catholic neighborhood in New Haven, offering to play a phonograph record and to sell religious literature. The message on the record and in some of the literature not only explained the Witnesses' beliefs but also attacked the Catholic Church as "the Whore of Babylon." The Cantwells were arrested and convicted on the third and fifth counts of charges lodged against them. The third count alleged violation of a statute forbidding solicitation of funds for any "religious, charitable or philanthropic cause" without the prior approval of the secretary of the public welfare council. The fifth count alleged incitement to breach of peace in that Jesse Cantwell played his record for two men who became angry at its attack on Catholicism and threatened to punch him. At that point Jesse retreated without further discussion. The state supreme court sustained the convictions, and the Cantwells appealed to the U.S. Supreme Court.

Mr. Justice **ROBERTS** delivered the opinion of the Court. ...

First. We hold that the statute, as construed and applied to the appellants, deprives them of their liberty without due process of law in contravention of the Fourteenth Amendment. The fundamental concept of liberty embodied in that Amendment embraces the liberties guaranteed by the First Amendment. The First Amendment declares that Congress shall make no law respecting an establishment of religion or prohibiting the free exercise thereof. ... The constitutional inhibition of legislation on the subject of religion has a double aspect. On the one hand, it forestalls compulsion by law of the acceptance of any creed or the practice of any form of worship. Freedom of conscience and freedom to adhere to such religious organization or form of worship as the individual may choose cannot be restricted by law. On the other hand, it safeguards the free exercise of the chosen form of religion. Thus the Amendment embraces two concepts,—freedom to believe and freedom to act. The first is absolute but, in the nature of things, the second cannot be. Conduct remains subject to regulation for the protection of society. The freedom to act must have appropriate definition to preserve the enforcement of that protection. In every case the power to regulate must be so exercised as not, in attaining a permissible end, unduly to infringe the protected freedom. No one would contest the proposition that a state may not, by statute, wholly deny the right to preach or to disseminate religious views. ... It is equally clear that a state may by general and nondiscriminatory legislation regulate the times, the places, and the manner of soliciting upon its streets, and of holding meetings thereon; and may in other respects safeguard the peace, good order and comfort of the community, without unconstitutionally invading the liberties protected by the Fourteenth Amendment. ...

The general regulation, in the public interest, of solicitation, which does not involve any

religious test and does not unreasonably obstruct or delay the collection of funds, is not open to any constitutional objection, even though the collection be for a religious purpose. ...

It will be noted, however, that [this] Act requires an application to the secretary of the public welfare council of the State; that he is empowered to determine whether the cause is a religious one, and that the issue of a certificate depends upon his affirmative action. If he finds that the cause is not that of religion, to solicit for it becomes a crime. He is not to issue a certificate as a matter of course. His decision to issue or refuse it involves appraisal of facts, the exercise of judgment, and the formation of an opinion. He is authorized to withhold his approval if he determines that the cause is not a religious one. Such a censorship of religion as the means of determining its right to survive is a denial of liberty protected by the First Amendment and included in the liberty which is within the protection of the Fourteenth. ...

... [A]vailability of a judicial remedy for abuses in the system of licensing still leaves that system one of previous restraint which, in the field of free speech and press, we have held inadmissible. A statute authorizing previous restraint upon the exercise of the guaranteed freedom by judicial decision after trial is as obnoxious to the Constitution as one providing for like restraint by administrative action.

Nothing we have said is intended even remotely to imply that, under the cloak of religion, persons may, with impunity, commit frauds upon the public. ... Without doubt a state may protect its citizens from fraudulent solicitation by requiring a stranger in the community, before permitting him publicly to solicit funds for any purpose, to establish his identity and his authority to act for the cause which he purports to represent. The state is likewise free to regulate the time and manner of solicitation generally, in the interest of public safety, peace, comfort or convenience. But to condition the solicitation of aid for the perpetuation of religious views or systems upon a license, the grant of which rests in the exercise of a determination by state authority as to what is a religious cause, is to lay a forbidden burden upon the exercise of liberty protected by the Constitution.

Second. We hold that, in the circumstances disclosed, the conviction of Jesse Cantwell on the fifth count must be set aside. Decision as to the lawfulness of the conviction demands the weighing of two conflicting interests. The fundamental law declares the interest of the United States that the free exercise of religion be not prohibited and that freedom to communicate information and opinion be not abridged. The state of Connecticut has an obvious interest in the preservation and protection of peace and good order within her borders. We must determine whether the alleged protection of the State's interest, means to which end would, in the absence of limitation by the federal Constitution, lie wholly within the State's discretion, has been pressed, in this instance, to a point where it has come into fatal collision with the overriding interest protected by the federal compact. ...

The offense known as breach of the peace embraces a great variety of conduct destroying or menacing public order and tranquillity. It includes not only violent acts but acts and words likely to produce violence in others. No one would have the hardihood to suggest that the principle of freedom of speech sanctions incitement to riot or that religious liberty connotes the privilege to exhort others to physical attack upon those belonging to another sect. When clear

and present danger of riot, disorder, interference with traffic upon the public streets, or other immediate threat to public safety, peace, or order, appears, the power of the state to prevent or punish is obvious. Equally obvious is it that a state may not unduly suppress free communication of views, religious or other, under the guise of conserving desirable conditions.

...

The record played by *Cantwell* embodies a general attack on all organized religious systems as instruments of Satan and injurious to man; it then singles out the Roman Catholic Church for strictures couched in terms which naturally would offend not only persons of that persuasion, but all others who respect the honestly held religious faith of their fellows. The hearers were in fact highly offended. ...

We find in the instant case no assault or threatening of bodily harm, no truculent bearing, no intentional discourtesy, no personal abuse. On the contrary, we find only an effort to persuade a willing listener to buy a book or to contribute money in the interest of what *Cantwell*, however misguided others may think him, conceived to be true religion. In the realm of religious faith, and in that of political belief, sharp differences arise. ... To persuade others to his own point of view, the pleader ..., at times, resorts to exaggeration, to vilification of men who have been, or are, prominent in church or state, and even to false statement. But the people of this nation have ordained in the light of history, that, in spite of the probability of excesses and abuses, these liberties are, in the long view, essential to enlightened opinion and right conduct on the part of the citizens of a democracy. ...

Although the contents of the record not unnaturally aroused animosity, we think that, in the absence of a statute narrowly drawn to define and punish specific conduct as constituting a clear and present danger to a substantial interest of the State, the petitioner's communication, considered in the light of the constitutional guaranties, raised no such clear and present menace to public peace and order as to render him liable to conviction of the common law offense in question. ...

*Reversed.*

### **Editors' Notes**

(1) **Query:** In *Barenblatt v. United States* (1959; reprinted above, p. 803), the justices debated whether Roberts' opinion in *Cantwell* used a *balancing approach*. To what extent did he do so? To what extent was he groping toward the "strict scrutiny test"? To what extent did he treat free exercise as a "fundamental right"?

(2) *Cantwell* is the leading case interpreting the First and Fourteenth amendments to limit governmental authority to regulate dissemination of information on public streets to "time, place, and manner." This case and its descendants bar government's restricting the content of the information a speaker/writer wishes to communicate. For analyses of this "two-track" framework, see Laurence H. Tribe, *American Constitutional Law* (2d ed.; Mineola, NY: Foundation Press, 1988), ch. 12; John Hart Ely, *Democracy and Distrust* (Cambridge: Harvard University Press, 1980), ch. 5; and John Rawls, *Political Liberalism* (New York: Columbia

University Press, 1993), Lecture VIII.